



2891/82W
PATENT

Practitioner's Docket No. 02165PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Masakazu KOBAYASHI;
Nippon Shokubai Co., Ltd.
Serial No.: 10/525,848
Filed: February 22, 2005
For: Compound Semiconductor Particles and Production Process
Therefor
Examiner:
Group No.: 2812
Confirmation No.: 9572

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

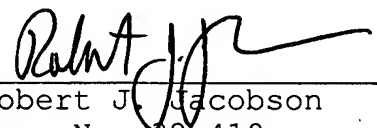
Certificate of Mailing

I hereby certify that the following documents are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on November 6, 2006 by applicant's attorney, Robert J. Jacobson:

1. This Certificate of Mailing dated November 6, 2006 (one page);
2. Information Disclosure Statement dated November 6, 2006 (two pages);
3. PTO-1449 forms (two);
4. EPO Communication dated September 27, 2006 (three pages total);
5. References listed on PTO-1449 forms plus an English abstract for reference "b;" and
6. Postcard receipt.

Respectfully submitted,

Date: 11-06-06


Robert J. Jacobson
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Information Disclosure Statement

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicant hereby submits the reference(s) listed on the attached Form(s) 1449 (or equivalent) such that said reference(s) may be considered and made of record. A copy of each of the cited reference(s) is enclosed. No representation is made that any of the listed reference(s) is prior art pursuant to 35 U.S.C. § 102 and/or 35 U.S.C. § 103.

This Information Disclosure Statement is being filed in accordance with the following provision(s):

{18924.DOC}

I hereby certify that this correspondence and the documents referred to as enclosed therein are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA, 22313-1450, on November 6, 2006 by applicant's attorney Robert J. Jacobson.


Robert J. Jacobson, Reg. No. 32,419

37 CFR 1.97(b)(3) Before the mailing date of a first Office Action on the merits. No fee is required.

37 CFR 1.97(c) After (if applicable, such as where an Office Action and this IDS cross in the mail) the periods specified in 37 CFR 1.97(b), but before the mailing date of either: (1) a final action under § 1.113 or (2) a notice of allowance under § 1.311, whichever occurs first. Accordingly:

The undersigned hereby certifies that each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

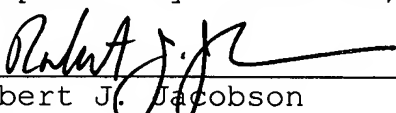
37 CFR 1.98(a)(3)(i) A concise explanation of the relevance as it is presently understood by the individual designated in § 1.56(c) most knowledgeable about the content of the information, of each patent, publication, or other information listed that is not in the English language. The following references are being submitted under this provision with an English abstract:

c. JP 1-161064 (having Chemical Abstract attached).

For the information of The Patent Office, please find further enclosed an EPO Communication (document "a") dated September 27, 2006 (three pages total) including a Supplementary European Search Report and an Annex to the European Search Report. The European Search Report cites certain references, and these references are listed on the PTO-1449 form(s).

Respectfully submitted,

Date: 11-06-06


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Application Number	10/525,848
Filing Date	09/09/2005
First Named Inventor	Masakazu KOBAYASHI
Art Unit	2812
Examiner Name	
Attorney Docket Number	02165PCT

[illegible][illegible]

Date	
Considered	

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Substitute for form 1449B/PTO

(use as many sheets as necessary)

Sheet	two	of	two
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Application Number	10/525,848
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First Named Inventor	Masakazu KOBAYASHI
Art Unit	2812
Examiner Name	
Attorney Docket Number	02165PCT

[illegible]

Examiner
Signature

Date
Considered

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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